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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/873,194	06/05/2001	Kenneth L. Oakeson	10005667-1	5696

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HEWLETT-PACKARD COMPANY
Intellectual Property Administration
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Fort Collins, CO 80527-2400

EXAMINER

CHANDLER, SARA M

ART UNIT	PAPER NUMBER
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3628

DATE MAILED: 03/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	09/873,194	OAKESON ET AL.	
	Examiner	Art Unit	
	Sara Chandler	3628	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06/05/2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Specification

The disclosure is objected to because it contains an embedded hyperlink and/or other form of browser-executable code (i.e., reference to [www.hp_opensource.com](http://www.hpopensource.com) on page 4, line 8). Applicant is required to delete the embedded hyperlink and/or other form of browser-executable code. See MPEP § 608.01.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1,2,6,7 are rejected under 35 U.S.C. 102(e) as being anticipated by Huberman, U.S. Pat. No. 6,078,906.

Re Claim 1: Huberman discloses an apparatus that stores bid information for services in a computer network, the computer network coupling processors and a client, wherein the client submits a job request for execution by one or more of the processors, comprising (Huberman, abstract; fig. 1):
a service bus coupled to the computer network, wherein the service bus is coupled to the client and the processors (Huberman, abstract, col. 2, lines 65-66, col. 3, lines 1-4, the service bus is inherent);

a job ticket service coupled to the service bus, the job ticket service capable of storing a job ticket related to the job request (Huberman, abstract, col. 3, lines 54-60, The broker provides a job ticket service by handling requests for document services on behalf of customers, suppliers, service bus is inherent), and

a bidding service coupled to the service bus, wherein the bidding service is capable of posting a notice of the job request, and wherein one or more of the processors submit bids to complete the job request the bids comprising bid information, and wherein the job ticket service stores winning bid information with the job ticket (Huberman, abstract, col. 3, lines 54-60, The broker also provides a bidding service because the suppliers can place competing bids to perform the job request, service bus is inherent).

Re Claim 2: Huberman discloses the apparatus of claim 1, wherein the bidding service comprises:

an evaluation module that evaluates the submitted bids (Huberman, col. 3, lines 54-60, the bids are evaluated according to price); and

an ranking algorithm that ranks the submitted bids on the basis of the evaluation (Huberman, col 3, lines 54-60; col. 4, lines 9-11, inherently there is a ranking algorithm because the lowest bidder or the lowest few bidders are identified thus, there is a way to order or rank the bids).

Re Claim 6: Huberman discloses the apparatus of claim 1, wherein the bid information is provided to the client, and wherein the client selects the winning bid (Huberman, col. 4, lines 9-13).

Re Claim 7: Huberman discloses the apparatus of claim 1, wherein the bidding service selects the winning bid (Huberman, col. 3, lines 54-60, the broker selects the supplier with the lowest bid).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 3-5 and 9-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Huberman, U.S. Pat. No. 6,078,906 in view of Gindlesperger, U.S. Pat. No. 6,397,197.

Re Claim 3: Huberman fails to disclose the apparatus of claim 2, wherein the evaluation module comprises client-supplied evaluation criteria. Gindlesperger discloses an apparatus, wherein the evaluation module comprises client-supplied evaluation criteria (Gindlesperger, col. 5, lines 2-6, the buyers in his request for bid has vendor selection criteria). It would have been obvious to one of ordinary skill in the art

to combine the teachings of Huberman and Gindlesperger because the client is requesting that a job to be completed, and clients will not choose a business that is unable to fulfill the requirements of the job. Thus, there is a need for an evaluation module comprising client-supplied evaluation criteria.

Re Claim 4: Huberman fails to disclose the apparatus of claim 2, wherein the evaluation module comprises industry-standard evaluation criteria. Gindlesperger discloses an apparatus, wherein the evaluation module comprises industry-standard evaluation criteria (Gindlesperger, col. 5, lines 7-10; col. 6, lines 65-67; col. 7, lines 1-16, vendor capability data evaluates vendors on industry standard evaluation criteria). It would have been obvious to one of ordinary skill in the art to combine the teachings of Huberman and Gindlesperger because the clients typically want cost effective options and quality products and services. Compliance with industry standards is indicative of a businesses ability to meet these demands. Thus, there is a need for an evaluation module comprising industry-standard evaluation criteria.

Re Claim 5: Huberman fails to disclose the apparatus of claim 2, wherein the ranking algorithm includes weighting factors. Gindlesperger discloses an apparatus, wherein the ranking algorithm includes weighting factors (Gindlesperger, col. 6, lines 33-36 and lines 54-58, In ranking the bids, weight is given to the number of vendors that have submitted a form disclosing vendor capability attributes, and the number of vendor's in the buyers bid pool that are approved for the transaction). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teaching Huberman and Gindlesperger because both patents rank bids for printing and

other document services and algorithms are used to compute order and/or ranking and weighting factors are used in statistics to distinguish between factors of varying degrees of importance.

Re Claim 9: Huberman fails to disclose the apparatus of claim 1, wherein the job ticket comprises multiple branches, wherein the bidding service posts a notice for one or more of the multiple branches, and wherein the bidding service determines a winning bid for each of the multiple branches. Gindlesperger discloses an apparatus, wherein the job ticket comprises multiple branches, wherein the bidding service posts a notice for one or more of the multiple branches, and wherein the bidding service determines a winning bid for each of the multiple branches (Gindlesperger, col. 5, lines 36-40). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of Huberman and Gindlesperger because a clients (e.g., clients of printing/document services) typically require multiple tasks to be completed in bundles(e.g., printing, shipping, binding) and posting notices improves competition and makes the process more cost effective.

Re Claim 10: Huberman discloses a method for using a job ticket service to store bid information for electronic services in a computer network, the computer network coupling processors and a client, wherein the client submits a job request for execution by one or more of the processors, comprising (Huberman, abstract, fig. 1): receiving a job request from the client (Huberman, col. 3, lines 54-60); posting a notice of the job request at a job ticket service center, the job ticket service center generating a job ticket corresponding to the job request (Huberman, col. 5, line

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s 4-6);

receiving bids from one or more of the processors (Huberman, col. 2, lines 65-66; col. 3, lines 1-4; col. 5, lines 4-6);

evaluating the bids (Huberman, col. 3, lines 54-60, the bids are evaluated according to price);

Huberman fails to disclose a method further comprising: selecting a winning bid, wherein the winning bid includes bid information; and storing the bid information with the job ticket. Gindlesperger discloses a method comprising:

selecting a winning bid, wherein the winning bid includes bid information

(Gindlesperger, col. 5, lines 24-35 A winning bid is selected, the bid information must be included with the winning bid because the non-selected vendors receive the bid results data for the vendor who won); and

storing the bid information with the job ticket (Gindlesberger, col. 5, lines 49-55, the bid information is stored with the job ticket because the winning bid/vendor's progress and/or completion of the job can be tracked. Thus, the bid information and the job ticket must be stored together).

It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of Huberman and Gindlesperger because in auctions, bids for contract etc it is inherent that the submitted bids are evaluated and a best or winning bid selected. Furthermore, storing information regarding the winning bidder with the auctioned item, contract (e.g., job ticket) is customary as record of obligations (e.g., perform a service, pay).

Re Claim 11: Huberman fails to disclose the method of claim 10, wherein the evaluating step comprises evaluating the submitted bids against client-supplied evaluation criteria. Gindlesperger discloses a method, wherein the evaluating step comprises evaluating the submitted bids against client-supplied evaluation criteria (Gindlesperger, col. 5, lines 2-6, the buyers in his request for bid has vendor selection criteria). It would have been obvious to one of ordinary skill in the art to combine the teachings of Huberman and Gindlesperger because the client is requesting that a job to be completed, and clients will not choose a business that is unable to fulfill the requirements of the job. Thus, there is a need for client-supplied evaluation criteria.

Re Claim 12: Huberman fails to disclose the method of claim 10, wherein the evaluating step comprises evaluating the submitted bids against industry standard evaluation criteria. Gindlesperger discloses a method, wherein the evaluating step comprises evaluating the submitted bids against industry standard evaluation criteria (Gindlesperger, col. 5, lines 7-10; col. 6, lines 65-67; col. 7, lines 1-16, vendor capability data evaluates vendors on industry standard evaluation criteria). It would have been obvious to one of ordinary skill in the art to combine the teachings of Huberman and Gindlesperger because the clients typically want cost effective options and quality products and services. Compliance with industry standards is indicative of a businesses ability to meet these demands. Thus, there is a need for industry standard evaluation criteria.

Re Claim 13: Huberman discloses a method comprising:

applying a ranking algorithm to the evaluated bids (Huberman, col 3, lines 54-60; col. 4, lines 9-11, inherently there is a ranking algorithm because the lowest bidder or the lowest few bidders are identified thus, there is a way to order or rank the bids); and ranking the evaluated bids according to the ranking algorithm (Huberman, col 3, lines 54-60; col. 4, lines 9-11, inherently there is a ranking algorithm because the lowest bidder or the lowest few bidders are identified thus, there is a way to order or rank the bids).

Re Claim 14: Huberman discloses a method comprising:
supplying the ranked bids to the client (Huberman, col. 4, lines 9-13); and
receiving a selection of the winning bid from the client (Huberman, col. 4, lines 9-13).

Re Claim 15: Huberman discloses a method comprising selecting the winning bid from the ranked bids according to a standard algorithm (Huberman, col. 3, lines 54-60, the broker selects the winning bid from the bids ranked in terms of price, inherently there is a algorithm for this step).

Re Claim 16: Huberman fails to disclose the method of claim 15, wherein the standard algorithm includes weighting factors. Gindlesperger discloses a method wherein the standard algorithm includes weighting factors (Gindlesperger, col. 6, lines 33-36 and lines 54-58, In ranking the bids, weight is given to the number of vendors that have submitted a form disclosing vendor capability attributes, and the number of vendor's in the buyers bid pool that are approved for the transaction). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teaching Huberman and Gindlesperger because both rank bids for printing and other

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document services and algorithms are used to compute order and/or ranking and weighting factors are used in statistics to distinguish between factors of varying degrees of importance.

Re Claim 17 Huberman discloses a method for controlling completion of a job ticket in a networked environment, wherein a plurality processors compete for selection to perform tasks related to the job ticket, comprising:
posting a notice in the environment for one or more of the one or more tasks(Huberman, col. 5, lines 4-6);
receiving bids from one or more of the plurality of processors for one or more of the one or more tasks (Huberman, col. 2, lines 65-66; col. 3, lines 1-4; col. 5, lines 4-6); and
selecting a processor to complete a task based on the comparison (Huberman, col. 3, lines 54-60, the broker selects the supplier with the lowest bid).

Huberman fails to disclose a method further comprising: defining one or more tasks to complete the job ticket; assigning performance criteria for each of the one or more tasks; and comparing the received bids for one or more of the one or more tasks to the assigned performance criteria. Gindlesperger discloses a method for controlling completion of a job ticket in a networked environment, wherein a plurality processors compete for selection to perform tasks related to the job ticket, comprising:
defining one or more tasks to complete the job ticket (Gindlesperger, col. 5, lines 6-10);
assigning performance criteria for each of the one or more tasks (Gindlesperger, col. 5, lines 2-6, the buyers in his request for bid has vendor selection criteria; col. 5, lines 7-

10; col. 6, lines 65-67; col. 7, lines 1-16, vendor capability data evaluates vendors on industry standard evaluation criteria);

comparing the received bids for one or more of the one or more tasks to the assigned performance criteria (Gindlesperger, col5, lines 6-10, The vendor selection criteria is the tasks the buyer wants to have performed and serves as the minimum performance criteria, and it is even taken from the invitation-for-bid submitted by the buyer. Vendors, as part of their bid, must address vendor capabilities which is their ability to satisfy the industry criteria generally and the vendor selection criteria specifically. The buyer and vendor data is compared).

It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of Huberman and Gindlesberg because clients (e.g., clients of printing/document services) typically require multiple tasks to be completed in bundles (e.g., printing, shipping, binding) and posting notices improves competition and makes the process more cost effective. and the client is requesting the completion of a job, and a client will not choose a business that is unable to fulfill the requirements of the job.

Re Claim 18: Huberman fails to disclose the method of claim 17, wherein the performance criteria includes a minimum performance criteria, and wherein the comparing step comprises:

comparing the received bids for the one or more tasks to the minimum performance criteria and discarding any bid that does not meet the minimum performance criteria.

Gindlesperger discloses a method, wherein the performance criteria includes a minimum performance criteria, and wherein the comparing step comprises: comparing the received bids for the one or more tasks to the minimum performance criteria (Gindlesperger, col. 5, lines 6-10, The vendor selection criteria is the tasks the buyer wants to have performed and serves as the minimum performance criteria, and it is even taken from the invitation-for-bid submitted by the buyer. Vendors, as part of their bid, must address vendor capabilities which is their ability to satisfy the industry criteria generally and the vendor selection criteria specifically. The buyer and vendor data is compared) and discarding any bid that does not meet the minimum performance criteria (Gindlesperger, col. 5, lines 6-10, Gindlesperger mentions what is required for the bids "qualify for, and to receive, a vendor's invitation-for-bid." In the alternative, the bids that do not qualify must be discarded).

It would have been obvious to one of ordinary skill in the art at the time of the invention combine the teachings of Huberman and Gindlesperger because the client is requesting the completion of a job, and a client will not choose a business that is unable to fulfill the requirements of the job. Thus, it would make sense to discard the bids that do not meet the requirements of the job.

Re Claim 19: Huberman fails to disclose the method of claim 17, wherein the performance criteria comprises a plurality of performance factors, and further comprising weighting selected one of the plurality of performance factors. Gindlesperger discloses a method, wherein the performance criteria comprises a

plurality of performance factors, and further comprising weighting selected one of the plurality of performance factors (Gindlesperger, col. 5, lines 6-10, The vendor selection criteria comprises a plurality of factors, namely the vendors ability to perform the required tasks. Furthermore, weight must be given to these factors because the number of vendors meeting the minimum approval is tracked along with whether vendor capability data attribute data was received). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Huberman to include the teachings of Gindlesperger because clients (e.g., clients of printing/document services) typically require multiple tasks to be completed in bundles (e.g., printing, shipping, binding) and weighting factors are used in statistics to distinguish between factors of varying degrees of importance.

Re Claim 20: Huberman fails to disclose the method of claim 17, wherein the selecting step comprises: ranking the received bids based on the comparison, wherein a bid that is closest to the performance criteria has a best ranking; and selecting a bid that has the best ranking. Gindlesperger discloses a method, wherein the selecting step comprises: ranking the received bids based on the comparison, wherein a bid that is closest to the performance criteria has a best ranking (Gindlesperger, col.5, lines 24-27 and 32-35); and selecting a bid that has the best ranking (Gindlesperger, col.5, lines 24-27 and 32-35). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Huberman to include the teachings of Gindlesperger because ranking can be based on any criteria. For example, ranking can be based on

price, quality of service, number of service/product options, ability to fulfill job tasks etc. depending on what the objective for the ranking is.

Re Claim 21: Huberman discloses a machine-readable program storage device, tangibly embodying a program of instruction executed by a machine in a networked environment wherein a plurality of processors compete for selection to perform tasks related to a job ticket, the program of instructions performing method steps for controlling completion of the job ticket the method steps (Huberman, abstract, col. 5, lines 61-67, Huberman discloses the use of computers in a network. Inherently, the computer possess a storage device., and embodies the program), comprising: posting a notice in the environment for one or more of the one or more tasks (Huberman, col. 5, lines 4-6); receiving bids from one or more of the plurality of processors for one or more of the one or more tasks (Huberman, col. 2, lines 65-66; col. 3, lines 1-4; col. 5, lines 4-6); and selecting a processor to complete a task based on the comparison (Huberman, col. 3, lines 54-60, the broker selects the supplier with the lowest bid).

Huberman fails to disclose:

Defining one or more tasks to complete the job ticket;
assigning performance criteria for each of the one or more tasks; and
comparing the received bids for one or more of the one or more tasks to the assigned performance criteria.

Gindlesperger discloses a method further comprising:

defining one or more tasks to complete the job ticket (Gindlesperger, col. 5, lines 6-10);

assigning performance criteria for each of the one or more tasks (Gindlesperger, col. 5, lines 2-6, the buyers in his request for bid has vendor selection criteria; col. 5, lines 7-10; col. 6, lines 65-67; col. 7, lines 1-16, vendor capability data evaluates vendors on industry standard evaluation criteria);

and comparing the received bids for one or more of the one or more tasks to the assigned performance criteria (Gindlesperger, col5, lines 6-10, The vendor selection criteria is the tasks the buyer wants to have performed and serves as the minimum performance criteria, and it is even taken from the invitation-for-bid submitted by the buyer. Vendors, as part of their bid, must address vendor capabilities which is their ability to satisfy the industry criteria generally and the vendor selection criteria specifically. The buyer and vendor data is compared).

Claim 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over Huberman, U.S. Pat. No. 6,078,906 in view of Meltzer, U.S. Pat. No. 6,125,391.

Re Claim 8: Huberman fails to disclose an apparatus, wherein the job ticket is a XML object. Meltzer discloses wherein the job ticket is a XML object (Meltzer, abstract). It would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of Huberman and Meltzer because as Meltzer suggests XML based documents can be understood among different entities (e.g., businesses and their suppliers, customers etc.), the definitions tell what services the company offers etc.

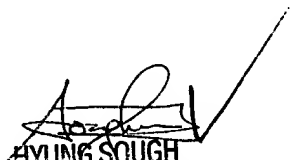
Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sara Chandler whose telephone number is 571-272-1186. The examiner can normally be reached on 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hyung Sough can be reached on 571-272-6799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

SMC


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